STATE OF ILLINOIS ILLINOIS COMMERCE COMMISSION

AIA Energy Inc.

Application for Licensure of Agents, Brokers, and Consultants under Section 16-115C of the Public Utilities Act.

Docket No.

APPLICATION

AIA Energy Inc. ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a license pursuant to Section 16-115C of the Public Utilities Act ("Act"). In support of its application, Applicant states as follows:

GENERAL [454.40, 454.50, 454.100]

Applicant's name and street address.

AIA Energy Inc. 951 N Plum Grove Road Ste F Schaumburg, IL 60173

- 2. Related Information:
 - Type of business entity: **S Corporation**
 - Jurisdiction in which and under whose laws business entity was created:
 - Other names under which Applicant does business (D/B/A):
- 3. Contact Persons for the following:
 - a) issues related to processing this application [454.130]

Vic Lakhani President 951 N Plum Grove Road Ste F Schaumburg, IL 60173 Ph: 847-529-5800

Email: vlakhani@aiaenergy.com

b) issues related to complaint resolution [454.130]

Vic Lakhani President 951 N Plum Grove Road Ste F Schaumburg, IL 60173 Ph: 847-529-5800 Email: vlakhani@aiaenergy.com

- 4. Description of Applicant's business. [454.40(c)(1)]
- 5. A statement in support of application (including supporting documents and schedules if necessary) certifying the applicant meets the requirements of Section 16-115C of the Act. [454.40(d)(1)]
- 6. The name, address, telephone number, any facsimile number and any e-mail address of the agent registered with the Illinois Secretary of State. This information shall be kept current and any change regarding the licensee shall be reported within 15 days after the change occurs. The required information shall be filed with the Chief Clerk of the Commission at its Springfield office. [454.40(c)(3)]

Vic Lakhani President 951 N Plum Grove Road Ste F Schaumburg, IL 60173 Ph: 847-529-5800

Email: vlakhani@aiaenergy.com

7. A statement to disclose whether the Applicant operates as an agent, broker, or consultant in any other jurisdictions in a manner similar to that contemplated under Section 16-115C of the Act. Additionally, regardless of whether Applicant operates as an agent, broker, or consultant in Illinois or any other jurisdiction, the Applicant is directed to disclose whether it has had any complaints filed against it for its provision of any services in the electric or gas industry in this or any other jurisdiction. If any such complaints exist, the Applicant is directed to include in its response the nature of the complaint, the jurisdiction, and the ultimate resolution.

As of date applicant, AIA Energy Inc., does not have any complaints filed against it for its provision of any services in the electric or gas industry in this or any other jurisdiction. Jurisdiction to practice business currently includes the State of Illinois. Within the next (1) year the Applicant, AIA Energy Inc., will acquire jurisdiction to perform the same services to the following deregulated markets:

STATE OF MICHIGAN: SALE OF RETAIL ELECTRICITY AS AN AGENT, BROKER, AND CONSULATANT.

STATE OF OREGAN: SALE OF RETAIL ELECTRICITY AS AN AGENT, BROKER, AND CONSULATANT.

STATE OF TEXAS: SALE OF RETAIL ELECTRICITY AS AN AGENT, BROKER, AND CONSULATANT.

STATE OF OHIO: SALE OF RETAIL ELECTRICITY AS AN AGENT, BROKER, AND CONSULATANT.

STATE OF PENNSL YVANNIA: SALE OF RETAIL ELECTRICITY AS AN AGENT, BROKER, AND CONSULATANT.

STATE OF MARYLAND: SALE OF RETAIL ELECTRICITY AS AN AGENT, BROKER, AND CONSULATANT.

STATE OF DELAWARE: SALE OF RETAIL ELECTRICITY AS AN AGENT, BROKER, AND CONSULATANT.

STATE OF NEW JERSEY: SALE OF RETAIL ELECTRICITY AS AN AGENT, BROKER, AND CONSULATANT.

STATE OF NEW YORK: SALE OF RETAIL ELECTRICITY AS AN AGENT, BROKER, AND CONSULATANT.

STATE OF CONNETICUT: SALE OF RETAIL ELECTRICITY AS AN AGENT, BROKER, AND CONSULATANT.

STATE OF RHODE ISLAND: SALE OF RETAIL ELECTRICITY AS AN AGENT, BROKER, AND CONSULATANT

STATE OF MASSACHUSETTS: SALE OF RETAIL ELECTRICITY AS AN AGENT, BROKER, AND CONSULATANT

STATE OF NEW HAMPSHIRE: SALE OF RETAIL ELECTRICITY AS AN AGENT, BROKER, AND CONSULATANT

STATE OF MAINE: SALE OF RETAIL ELECTRICITY AS AGENT, BROKER, AND CONSULATANT

8. Applicant certifies that it:

- a) is licensed to do business in the State of Illinois and is in compliance with all other applicable laws, regulations and Commission rules and orders; [454.40(c)(2)] **YES**
- b) shall comply with all terms and conditions required by Section 16-115C of the Act; [454.40(d)(2)] **YES**
- shall ensure any person who acts on behalf of the entity will comply with all sections of Part 454 applicable to the function or functions to be performed; [454.40(d)(3)] **YES**

- d) shall remain in compliance with the provisions of the Act and Part 454; [454.50] **YES**
- e) shall ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created; and [454.100(a)] **YES**
- f) shall preserve the confidentiality of its customers' data. [454.100(b)] YES

MANAGERIAL LICENSING QUALIFICATIONS [Section 454.60]

9. Applicant meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment **A**. Attachment **B** includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment **A** includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

TECHNICAL LICENSING QUALIFICATIONS [Section 454.70]

10. Applicant meets the technical qualifications set forth in Part 454.70, as demonstrated in Attachment **A**. Attachment **A** includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

FINANCIAL LICENSING REQUIREMENTS [SECTION 454.80]

11. Applicant is required to execute and maintain a license or permit bond in the name of the People of the State of Illinois issued by a qualifying surety or insurance company authorized to transact business in the State of Illinois. The amount of the bond shall equal \$5,000.

CODE OF CONDUCT [SECTION 454.90]

- 12. Applicant certifies that it shall:
 - a) Disclose in plain language in writing the nature of the services offered by the ABC; **YES**
 - Prior to the customer signing a contract, disclose that they are not employed by the electric utility operating in the applicable service territory;
 YES

- c) Disclose in plain language in writing to all persons it solicits the total price per kilowatt-hour, and the total anticipated cost, inclusive of all fees or commissions received by the licensee, to be paid by the customer over the period of the proposed underlying customer contract. In the event a person or entity required to be licensed pursuant to this Part uses an electric supply usage estimate for a particular customer, the person or entity required to be licensed pursuant to this Part must use the same electric supply usage estimate for all service offers it presents to that particular customer; **YES**
- d) Any disclosure required by subsection (c) must be made prior to entering into the contract and signed by the customer; **YES**
- e) Disclose if applicable, to all customers, prior to the customer signing a contract the fact that they will be receiving compensation from the supplier; **YES**
- f) Not hold itself out as independent or unaffiliated with any RES, or both, or use words calculated to give that impression, unless the person or entity offering service under Section 16-115C of the Act has no contractual relationship with any RES or its affiliates regarding retail electric service in Illinois; YES
- Not utilize false, misleading, materially inaccurate, defamatory or otherwise deceptive language or materials in the soliciting or providing of its services; YES
- h) Maintain copies of all marketing materials disseminated to third parties for a period of not less than three years; **YES**
- i) Maintain copies of all disclosure statements required in subsections (a) and (b) for a period of not less than three years; **YES**
- j) Not present electricity pricing information in a manner that favors one supplier over another, unless a valid pricing comparison is made utilizing all relevant costs and terms; and **YES**
- k) Comply with the requirements of Sections 2EE, 2FF, 2GG and 2HH of the Consumer Fraud and Deceptive Business Practices Act [815 ILCS 505/2EE, 2FF, 2GG and 2HH]. **YES**

REPORTING REQUIREMENTS [SECTION 454.110]

13. Applicant agrees to submit reports in accordance with annual reporting requirements. [454.110] **YES**

WHEREFORE, Applicant requests that the Commission grant its application for licensure as an agent, broker, or consultant to engage in the procurement or sale of retail electricity supply for third parties.

Respectfully submitted,

By: Vipul M Lakhani

AIA Energy Inc. - President/CEO

VERIFICATION

STATE OF Illinois)

COUNTY OF Cook)

[Vipul M Lakhani], being first duly sworn, deposes and says that he is the President of AIA Energy Inc.; that he has read the foregoing Application of AIA Energy Inc., and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of his knowledge, information and belief.

Vipul M Lakhani AIA Energy Inc. – President CEO

To be completed by a Notary Public

Subscribed and sworn to before me

this 6 day of

20 1

Notary Public



OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

DECEMBER 20, 2011

6819-986-7

VIPUL M LAKHANI 951 N PLUM GROVE RD STE F SCHAUMBURG, IL 60173

RE AIA ENERGY INC.

DEAR SIR OR MADAM:

IT HAS BEEN OUR PLEASURE TO APPROVE AND PLACE ON RECORD THE ARTICLES OF INCORPORATION THAT CREATED YOUR CORPORATION. WE EXTEND OUR BEST WISHES FOR SUCCESS IN YOUR NEW VENTURE.

THE CORPORATION MUST FILE AN ANNUAL REPORT AND PAY FRANCHISE TAXES PRIOR TO THE FIRST DAY OF ITS ANNIVERSARY MONTH (MONTH OF INCORPORATION) NEXT YEAR. A PRE-PRINTED ANNUAL REPORT FORM WILL BE SENT TO THE REGISTERED AGENT AT THE ADDRESS SHOWN ON THE RECORDS OF THIS OFFICE APPROXIMATELY 60 DAYS PRIOR TO ITS ANNIVERSARY MONTH.

SECURITIES CANNOT BE ISSUED OR SOLD EXCEPT IN COMPLIANCE WITH THE ILLINOIS SECURITIES LAW OF 1953, 815 ILLINOIS COMPILED STATUTES, 5/1 ET SEQ, FOR FURTHER INFORMATION CONTACT THE OFFICE OF THE SECRETARY OF STATE, SECURITIES DEPARTMENT AT (217) 782-2256 OR (312) 793-3384.

MANY SERVICES ARE NOW AVAILABLE ON-LINE AT WWW.CYBERDRIVEILLINOIS.COM. YOU MAY CHECK THE STATUS OF THIS CORPORATION, PURCHASE A CERTIFICATE OF GOOD STANDING OR FILE AN ANNUAL REPORT WHEN IT IS DUE.

SINCERELY,

JESSE WHITE SECRETARY OF STATE DEPARTMENT OF BUSINESS SERVICES CORPORATION DIVISION TELEPHONE (217) 782-6961 FORM **BCA 2.10** (rev. Dec. 2003) ARTICLES OF INCORPORATION

Business Corporation Act

Jesse White, Secretary of State Department of Business Services 501 S. Second St., Rm. 350 Springfield, IL 62756 217-782-9522 217-782-6961 www.cyberdriveillinois.com

Remit payment in the form of a cashier's check, certified check, money order or an Illinois attorney's or CPA's check

yable to Secretary of S	tate. JESSIE	: WHILE SE	CRETAR	Y OF STAT	[E]	
e Note 1 on back to d						[]
ng Fee: \$150 Franchi	ise Tax \$ <u>25,00</u> Tota	u \$ <u>175.00</u>	_ File #	68199867	Арр	roved. <u>kal</u>
Submit in d	Iuplicate — Type or P	rint clearly in bl	ack ink ———	Do not write	e above this li	ne
Corporate Name: _A	Kak prate Name must contain use	CP0814689	y," "Incorpo	orated," "Limited" or	an abbreviation	thereof.
Initial Registered Ag	gent: <u>Vipul M Lakhani</u> First Nam	e	Middle Initial	La	st Name	
Initial Registered Of	al Registered Office: 951 N Pium Grove Road Ste F Number Street		Suite No. (P.O. Box alone is unacceptable)			ble)
	0 1		60173		Cook	•
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Paragraph 2 — The preferences, qualifications, limitations, restrictions and special or relative rights in respect of the shares of each class are:

If more space is needed, attach additional sheets of this size.

(cont. on back)

ITEMS 5, 6 AND 7 ARE OPTIONAL

5.	b. Names and	Number of Directors constituting the initial board of directors of the corporatio Names and Addresses of persons serving as directors until the first annual med cessors are elected and qualify:				eeting of shareholders or until their suc-		
	Name		Address		City, State	o, ZIP		
	Vipul M Lakha	ni - 1051 W Sutton Court Palatine	IL 60067			· · · · · · · · · · · · · · · · · · ·		
6.		ated that the value of the property bying year wherever located will b		the corporation	n \$ 0.00			
	b. It is estima	ted that the value of the property uring the following year will be:		hin the State	\$ 0.00			
	c. It is estima	It is estimated that the gross amount of business that will be transacted be the corporation during the following year will be: It is estimated that the gross amount of business that will be transacted from places of business in the State of Illinois during the following year will be transacted from places of business in the State of Illinois during the following year will be transacted from places of business in the State of Illinois during the following year will be transacted from places of business in the State of Illinois during the following year will be transacted be the corporation during the following year will be transacted be the corporation during the following year will be transacted be the corporation during the following year will be transacted be the corporation during the following year will be:			by \$ <u>1,000.00</u>			
					will be: \$ <u>1,000.00</u>			
7.	Incorporation (e	ns: Attach a separate sheet of e.g., authorizing preemptive rights s, fixing a duration other than per	s, denying cumul	y other provis ative voting, r	sion to be included egulating internal af	in the Articles of fairs, voting major-		
-		NAME(S) & ADDF	RESS(ES) OF IN	CORPORATO	PR(S)			
8.	The undersigne going Articles o	ed incorporator(s) hereby declare(if Incorporation are true.	s), under penalti	es of perjury, t	hat the statements r	nade in the fore-		
	Dated <u>Decemb</u>	per 20 Month & Day	2011 Year					
		Signature and Name			Address			
	1	Signature	1.	951 N Plum	Grove Road Ste F Street			
	_Vipul_M_La	akhani . Name (type or print)		Schaumbur City/Town	g, IL 60173 State	ZIP Code		
	2.	Signature	2.		Street			
	water the second se	Name (type or print)		City/Town	State	ZIP Code		
	3.	Signature	3.	<u> </u>	Street			
		Name (type or print)		City/Town	State	ZIP Code		
ma	y only be used OTE: If a corpora	be in BLACK INK on an original on conformed copies. ation acts as incorporator, the name ion shall be by a duly authorized or	ne of the corpora	tion and the st	ate of incorporation	shall be shown and		
No ·	(\$1.50 per \$1,0	hedule: chise tax is assessed at the rate o (00) on the paid-in capital represen franchise tax is \$25.)		rcent (The -	lote 2 — Return to: AlA Energy Inc. Firm n Vipul M Lakhani			
•	The filing fee is	\$ \$150.		-	Atteni	ìon		
٠	The minimum	total due (franchise tax + filing fe	ee) is \$175.		951 N Plum Grove F Mailing A	ddress		
	Printed by author	ority of the State of Illinois. December 201	1 5M C 162,26		Schaumburg, IL 601 City, State,	73 ZIP Code		

1

Date of this notice: 12-15-2011

Employer Identification Number:

45-4044999

Form: SS-4

Number of this notice: CP 575 A

AIA ENERGY INC % VIPUL M LAKHANI 951 N PLUM GROVE ROAD STE F SCHAUMBURG, IL 60173

For assistance you may call us at: 1-800-829-4933

IF YOU WRITE, ATTACH THE STUB AT THE END OF THIS NOTICE.

WE ASSIGNED YOU AN EMPLOYER IDENTIFICATION NUMBER

Thank you for applying for an Employer Identification Number (EIN). We assigned you EIN 45-4044999. This EIN will identify you, your business accounts, tax returns, and documents, even if you have no employees. Please keep this notice in your permanent records.

When filing tax documents, payments, and related correspondence, it is very important that you use your EIN and complete name and address exactly as shown above. Any variation may cause a delay in processing, result in incorrect information in your account, or even cause you to be assigned more than one EIN. If the information is not correct as shown above, please make the correction using the attached tear off stub and return it to us.

Based on the information received from you or your representative, you must file the following form(s) by the date(s) shown.

Form 1120 03/15/2013

If you have questions about the form(s) or the due date(s) shown, you can call us at the phone number or write to us at the address shown at the top of this notice. If you need help in determining your annual accounting period (tax year), see Publication 538, Accounting Periods and Methods.

We assigned you a tax classification based on information obtained from you or your representative. It is not a legal determination of your tax classification, and is not binding on the IRS. If you want a legal determination of your tax classification, you may request a private letter ruling from the IRS under the guidelines in Revenue Procedure 2004-1, 2004-1 I.R.B. 1 (or superseding Revenue Procedure for the year at issue). Note: Certain tax classification elections can be requested by filing Form 8832, Entity Classification Election. See Form 8832 and its instructions for additional information.

IMPORTANT INFORMATION FOR S CORPORATION ELECTION:

If you intend to elect to file your return as a small business corporation, an election to file a Form 1120-S must be made within certain timeframes and the corporation must meet certain tests. All of this information is included in the instructions for Form 2553, *Election by a Small Business Corporation*.

If you are required to deposit for employment taxes (Forms 941, 943, 940, 944, 945, CT-1, or 1042), excise taxes (Form 720), or income taxes (Form 1120), you will receive a Welcome Package shortly, which includes instructions for making your deposits electronically through the Electronic Federal Tax Payment System (EFTPS). A Personal Identification Number (PIN) for EFTPS will also be sent to you under separate cover. Please activate the PIN once you receive it, even if you have requested the services of a tax professional or representative. For more information about EFTPS, refer to Publication 966, Electronic Choices to Pay All Your Federal Taxes. If you need to make a deposit immediately, you will need to make arrangements with your Financial Institution to complete a wire transfer.

The IRS is committed to helping all taxpayers comply with their tax filing obligations. If you need help completing your returns or meeting your tax obligations, Authorized e-file Providers, such as Reporting Agents (payroll service providers) are available to assist you. Visit the IRS Web site at www.irs.gov for a list of companies that offer IRS e-file for business products and services. The list provides addresses, telephone numbers, and links to their Web sites.

To obtain tax forms and publications, including those referenced in this notice, visit our Web site at www.irs.gov. If you do not have access to the Internet, call 1-800-829-3676 (TTY/TDD 1-800-829-4059) or visit your local IRS office.

IMPORTANT REMINDERS:

- * Keep a copy of this notice in your permanent records. This notice is issued only one time and the IRS will not be able to generate a duplicate copy for you.
- * Use this EIN and your name exactly as they appear at the top of this notice on all your federal tax forms.
- * Refer to this EIN on your tax-related correspondence and documents.

If you have questions about your EIN, you can call us at the phone number or write to us at the address shown at the top of this notice. If you write, please tear off the stub at the bottom of this notice and send it along with your letter. If you do not need to write us, do not complete and return the stub. Thank you for your cooperation.

		Keep this part for	your records.	CP 575 A (R	ev. 7-2007)
S	Return this part with so we may identify you correct any errors in	r account. Please	s.	99999	CP 575 A
Y (Your Telephone Number	Best Time to Call	DATE OF THIS NOTICE: EMPLOYER IDENTIFICATI FORM: SS-4	12-15-2011 ON NUMBER: NOBOD	45-4044999

INTERNAL REVENUE SERVICE
CINCINNATI OH 45999-0023

AIA ENERGY INC % VIPUL M LAKHANI 951 N PLUM GROVE ROAD STE F SCHAUMBURG, IL 60173

License or Permit Bond

License or Permit Bond No <u>S-281045</u> NGM Insurance Company 4601 Touchton Road, Suite 3400, Jacksonville, FL 32246

KNOW ALL MEN BY THESE PRESENTS, That we, **AIA ENERGY, INC.**, as Principal, and **NGM INSURANCE COMPANY**, a Florida Corporation, and authorized to do business in Illinois, as Surety, are held and firmly bound unto THE PEOPLE OF THE STATE OF ILLINOIS as Obligee, in the sum of FIVE THOUSAND AND NO/100 Dollars (\$5,000.00), for which sum, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, by these presents.

THE CONDITIONS OF THIS OBLIGATION ARE SUCH, That WHEREAS, the Principal has been or is about to be granted a license or permit to do business to operate as an ABC (Agent, Broker, or Consultant) under 220 ILCS 5/16-115C and is required to execute this bond under 83 Illinois Administrative Code Part 454.80 by the Obligee.

NOW, Therefore, if the Principal fully and faithfully perform all duties and obligations of the Principal as an ABC, then this obligation to be void; otherwise to remain in full force and effect.

This bond may be terminated as to future acts of the Principal upon thirty (30) days written notice by the Surety; said notice to be sent to 527 East Capitol Avenue, Springfield, Illinois 62701, of the aforesaid State of Illinois, by certified mail.

Dated this 26th day of November, 2012

AIA ENERGY, INC. Principal

Vipul Lakhani, President

Burety,

NGM INSURANCE COMPANY

pA:

William R. Hanley, Attorney-In-Fact

POWER OF ATTORNEY

06-0243744

KNOW ALL MEN BY THESE PRESENTS: That NGM Insurance Company, a Florida corporation having its principal office in the City of Jacksonville, State of Florida, pursuant to Article IV, Section 2 of the By-Laws of said Company, to wit.

"Article IV, Section 2. The board of directors, the president, any vice president, secretary, or the treasurer shall have the power and authority to appoint attorneys-in-fact and to authorize them to execute on behalf of the company and affix the seal of the company thereto, bonds, recognizances, contracts of indemnity or writings obligatory in the nature of a bond, recognizance or conditional undertaking and to remove any such attorneys-in-fact at any time and revoke the power and authority given to them."

does hereby make, constitute and appoint Nicholas A Hanley, William R Hanley, April Reagan

its true and lawful Attorneys-in-fact, to make, execute, seal and deliver for and on its behalf, and as its act and deed, bonds, undertakings, recognizances, contracts of indemnity, or other writings obligatory in nature of a bond subject to the following limitation:

No one bond to exceed Five Million Dollars (\$5,000,000,00)

and to bind NGM Insurance Company thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of the NGM Insurance Company; the acts of said Attorney are hereby ratified and confirmed.

This power of attorney is signed and scaled by facsimile under and by the authority of the following resolution adopted by the Directors of NGM Insurance Company at a meeting duly called and held on the 2nd day of December 1977,

Voted: That the signature of any officer authorized by the By-Laws and the company seal may be affixed by facsimile to any power of attorney or special power of attorney or certification of either given for the execution of any bond, undertaking, recognizance or other written obligation in the nature thereof; such signature and seal, when so used being hereby adopted by the company as the original signature of such office and the original seal of the company, to be valid and binding upon the company with the same force and effect as though manually affixed.

IN WITNESS WHEREOF, NGM Insurance Company has caused these presents to be signed by its Assistant Vice President, General Counsel and Secretary and its corporate seal to be hereto affixed this 11th day of March, 2011.

NGM INSURANCE COMPANY By:

Bruce R Fox

Assistant Vice President, General Counsel and Secretary

State of Florida, County of Duval.

On this March 11th, 2011 before the subscriber a Notary Public of State of Florida in and for the County of Duval duly commissioned and qualified, came Bruce R Fox of the NGM Insurance Company, to me personally known to be the officer described herein, and who executed the preceding instrument, and he acknowledged the execution of same, and being by me fully sworn, deposed and said that he is an officer of said Company, aforesaid: that the seal affixed to the preceding instrument is the corporate seal of said Company, and the said corporate seal and her signature as officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Company; that Article IV, Section 2 of the By-Laws of said Company is now in force.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at Jacksonville, Florida this 11th day of March,

Jahr Ch

TASHA PHILPOT NOTARY PUBLIC STATE OF FLORIDA Comm# EE 135437 Expires 10/3/2015

I. Brian J Beggs, Vice President of the NGM Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney executed by said Company which is still in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Company at Jacksonville, Florida this 26 day of NOVEMBER 2012

NOVEMBER day of

> VARNING: Any unauthorized reproduction or alteration of this document is prohibited. TO CONFIRM VALIDITY of the attached bond please call 1-800-225-5646.

TO SUBMIT A CLAIM: Send all correspondence to 55 West Street, Keene, NH 03431 Attn: Bond Claims.

Vipul Lakhani 1051 W Sutton Ct Palatine IL 60067

Ph: 847-529-5800 | Fx: 847-995-8899 Email: vlakhani1@gmail.com

Education:

BS in Organizational Leadership at Dominican University River Forest Illinois Class of 2003

Work Experience:

10/2011 - Present - AIA Energy Inc. - Independent sales agent for Nordic Energy. Job duties are to solicited commercial energy users, provide solutions and build relationships.

11/2005 – Present – Bluestar Mortgage Inc. – President/ CEO- duties are to run and manage a retail mortgage operation which includes Loan Origination, Loan Processing, Loan closing, Loan Funding, and Post Closing | Secondary Marketing. I am responsible for setting up lender / investor relations, warehouse lines, credit, loan audit, title and appraisal vendors. Other duties include accounting/ payroll, marketing, and loan officer, processor, closer, funder, and post closer support.

6/2011 - 10/2011 - Ambit Energy - Independent sales agent soliciting retail customers via networking

11/2003 – 11/2005 – Capstone Mortgage Corporation – Loan Officer – duties were to originate loan and develop relationships with various real estate agents

Electricity Related Experience

MANAGERIAL LICENSING QUALIFICATIONS [Section 454.60]

I, Vipul M Lakhani, have conducted managerial practices in the business of retail electricity sales and contractual procurement. I have been a freelance outside agent of Ambit Energy and Nordic Energy for a period of over (1) year beginning July 2011 to Present. During this time period it was my responsibility to delegate all retail electricity contractual obligations between the retail electricity provider and the utility account holder as an authorized agent of Ambit Energy and Nordic Energy.

TECHNICAL LICENSING QUALIFICATIONS [Section 454.70]

I, Vipul M Lakhani, have procured energy contracts including retail electricity for a period of over (1) year with Ambit Energy and Nordic Energy as a freelance outside sales agent. I have written performed and procured multiple combined retail electricity and demand use contracts for various utility account holders.



APPENDIX B

ORGANIZAZTIONAL STRUCTURE **APPENDIX B** FOR AIA ENERGY INC.

